

12/30/99
1672 U.S. PTO

01-03-00

A
PATENT
Atty. Docket No. ACS 52008 (18161)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box PATENT APPLICATION
ASSISTANT COMMISSIONER FOR PATENTS
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventors: Kent C. B. Stalker

For: DEVICE FOR, AND METHOD OF, BLOCKING EMBOLI IN VESSELS SUCH AS BLOOD ARTERIES

Enclosed are:

Nine (9) pages of specification
 Four (4) pages of claims
 One (1) page of Abstract
 Three (3) sheets of drawing(s) Formal Informal
 Declaration or oath
 This application is being filed under 37 CFR 1.53(d) without a signed Declaration or filing fee.
 A certified copy of a _____ application.
 An associate power of attorney.
 Verified Statement(s) that this is a filing by a small entity under 37 CFR 1.9 and 1.27 is (are attached).
 Preliminary Amendment
 Information Disclosure Statement
 Form PTO-1449 and copies of documents listed thereon.
 An assignment of the invention to ADVANCED CARDIOVASCULAR SYSTEMS, INC.
 is attached. A separate "Cover Sheet for Assignment Accompanying New Patent Application" or Form PTO 1595 is also attached.
 will follow.
 Other:

The filing fee has been calculated below:

CLAIMS		CLAIMS
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	12 - 20 =	* -0-
INDEP. CLAIMS	2 - 3 =	* -0-
MULT.DEP. CLAIMS		
ASSIGNMENT RECORDAL		

SMALL ENTITY	
RATE	FEES
	\$ 380
x \$ 9	\$
x \$ 39	\$
+ \$130	\$
\$ 40	\$
TOTAL	\$

LARGE ENTITY	
RATE	FEES
	\$ 690
x \$ 18	\$
x \$ 78	\$
+ \$260	\$
\$ 40	\$
TOTAL	\$ 690

*If the difference in No. of Claims filed
is less than zero, enter "0" in No. of Extra

jc515 U.S. PRO
09/476689
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[] Please charge Deposit Account No. 06-2425 in the amount of \$_____. A **duplicate copy** of this transmittal is enclosed.

[] A check in the amount of \$____ for the above fees is enclosed.

[] The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 06-2425. A **duplicate copy** of this transmittal is enclosed.

[] Any additional filing fees required under 37 CFR 1.16.

[] Any patent application processing fees under 37 CFR 1.17.

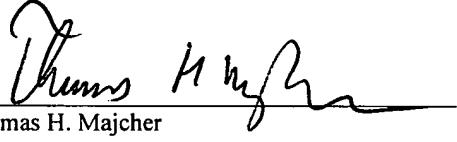
[] The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 06-2425. A **duplicate copy** of this transmittal is enclosed.

[] Any patent application processing fees under 37 CFR 1.17.

[] The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).

Respectfully submitted,

FULWIDER PATTON LEE & UTECHT, LLP

By: 
Thomas H. Majcher
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Date: December 30, 1999

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